

# A Monolithic *Ka*-Band HEMT Low-Noise Amplifier

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**Abstract**—A monolithic, single-stage HEMT low-noise amplifier has been developed for the 20–40 GHz band. This amplifier includes a single 0.25- $\mu$ m-gate-length HEMT active device with on-chip matching and biasing circuits. A gain of approximately 6 dB from 20 to 38 GHz and a noise figure of approximately 5 dB from 26.5 to 40 GHz were measured. Replacing the triangular gate profile by a mushroom gate profile in the amplifier increased the measured gain to 8 dB from 20 to 37 GHz and reduced the measured noise figure to 4 dB from 26 to 40 GHz. These are the best reported results for a MMIC amplifier over this bandwidth. The chip size is 2.2 mm  $\times$  1.1 mm. The same amplifier was fabricated on pseudomorphic HEMT material with a triangular gate profile and has achieved 7.5 dB gain across the 20–35 GHz bandwidth and a 6.0 dB noise figure from 26.5 to 40 GHz. The measured 1 dB compression powers at 30 GHz for the conventional and pseudomorphic HEMT amplifiers are 10 dBm and 11.5 dBm, respectively, when biased for maximum power.

## I. INTRODUCTION

HEMT'S HAVE demonstrated superior gain and noise figure performance over conventional MESFET's [1]–[3]. State-of-the-art gain and noise performance has been achieved from monolithic amplifiers [4] and from hybrid amplifiers [5] using HEMT's at frequencies below 20 GHz. In *Ka*-band, reports have been made on monolithic amplifiers using MESFET's as active devices [6]–[9] and hybrid amplifiers have been developed using HEMT devices for low-noise applications [10]–[12].

This paper describes the first monolithic, reactively matched *Ka*-band low-noise amplifier using a 0.25  $\mu$ m HEMT as the active device. The amplifier has achieved approximately 6 dB gain from 20 to 38 GHz and a 5 dB noise figure from 26.5 to 40 GHz. By replacing the triangular gate profile with a mushroom gate profile, the amplifier achieved 8 dB gain from 20 to 37 GHz with a 4 dB noise figure from 26 to 40 GHz, the best MMIC results reported to date. The same amplifier with a triangular gate profile was fabricated on the pseudomorphic HEMT material and has achieved higher gain (7.5 dB) at the expense of a narrower bandwidth (20–35 GHz) with a 6 dB noise figure from 26.5 to 40 GHz.

## II. DEVICE CONSIDERATIONS

Fig. 1 shows the HEMT epitaxial growth structure used in this amplifier. These HEMT layers were grown by MBE at 580°C on GaAs which was unintentionally

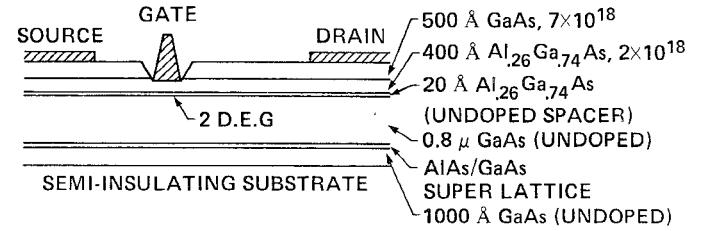


Fig. 1. Cross section of HEMT device.

doped n-type at about  $1 \times 10^{14}/\text{cm}^3$ . A five-period AlAs/GaAs superlattice is grown midway into the buffer layer in an attempt to reduce dislocations, improve surface morphology, and thereby improve the device noise performance.

The *I*-*V* characteristics of a discrete 0.25  $\mu$ m  $\times$  150  $\mu$ m HEMT are shown in Fig. 2. The gate is  $\Pi$ -configured with a triangular gate profile and the source-drain spacing is 2.5  $\mu$ m. This device has a typical  $I_{ds}$  of 170 mA/mm, a  $g_m$  of 520 mS/mm at maximum gain bias and 380 mS/mm at minimum noise bias, a pinch-off voltage of 0.8 V, and a breakdown voltage of 5 V. The sheet density of the two-dimensional electron gas is approximately  $2 \times 10^{12}/\text{cm}^2$ . Fig. 3 shows the equivalent circuit model for this HEMT biased for minimum noise figure. The device was first characterized by the *S*-parameter measurements in the common-gate, common-source, and common-drain configurations from 2 to 18 GHz. An equivalent circuit model is then constrained to fit the three sets of data.

This 0.25  $\mu$ m  $\times$  150  $\mu$ m HEMT has a measured minimum noise figure of 1.35 dB and an associated gain of 12 dB at 18 GHz. The modeled  $F_{min}$  is 2.74 dB at 40 GHz. A MAG of 8 dB was measured at 38 GHz, which extrapolates to a  $f_{max}$  of about 101 GHz.

## III. CIRCUIT DESIGN

A 20–40 GHz reactively matched amplifier was designed using a single 0.25  $\mu$ m  $\times$  140  $\mu$ m HEMT. Since the device model for the 0.25  $\mu$ m HEMT with the mushroom gate profile was not available then, the amplifier was designed based on the 0.25  $\mu$ m HEMT with the triangular gate profile. Fig. 4 shows the schematic layout of this amplifier, which uses shunt-shorted stubs and transmission lines as the input and output matching elements. The electrical lengths of the transmission lines are given at 40 GHz.

Both the input matching and the output matching network are bandpass-type filters with an order of 4. The input matching network has an upward frequency slope of

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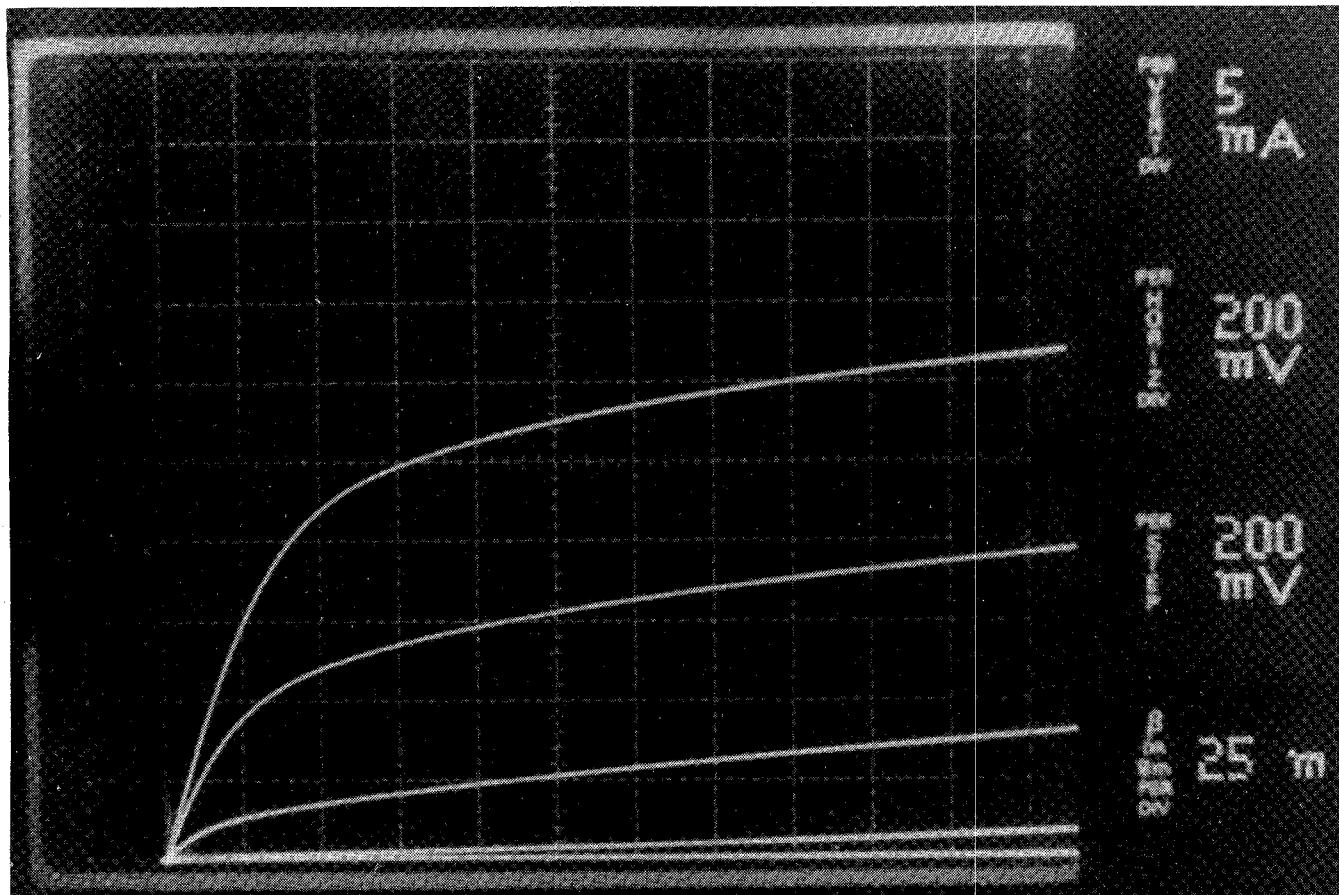
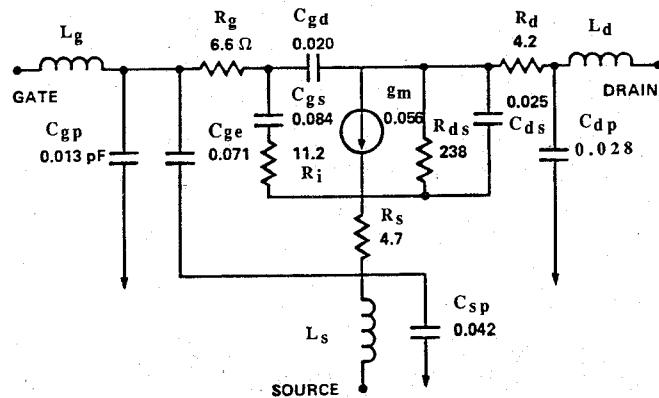
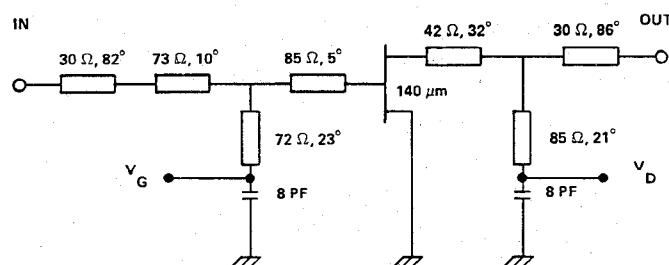
Fig. 2.  $I$ - $V$  characteristics of  $0.25 \mu\text{m} \times 150 \mu\text{m}$  HEMT device.Fig. 3. Equivalent circuit model of  $0.25 \mu\text{m} \times 150 \mu\text{m}$  HEMT device biased for minimum noise condition.

Fig. 4. Schematic circuit diagram for monolithic Ka-band amplifier. The electrical lengths of the transmission lines are given at 40 GHz.

4 dB/octave, a minimum insertion loss of 1 dB, and a 0.5 dB ripple from 20 to 40 GHz. The output matching network has an upward slope of 2 dB/octave and a minimum insertion loss of 1 dB with a 0.3 dB ripple. The gain slopes in the input and output matching networks compensate the 6 dB/octave gain roll-off of the HEMT device and result in a flat gain performance from 20 to 40 GHz. The minimized insertion losses in the input and output matching networks reduce the gain of the amplifier by 2 dB at 40 GHz.

Fifteen design parameters, including the matching and biasing circuit elements along with the gate periphery, were optimized for a maximum flat gain performance from 20 to 40 GHz using Supercompact. The discontinuities at the junctions of the matching elements were included in the simulation. The impedance of the matching elements was constrained to be within the range from 30 to 90 Ω for the purposes of practical realization and acceptable loss. A simulated gain of 6.5 dB from 20 to 42 GHz and input/output return losses of better than 5 dB from 30 to 50 GHz were obtained, as shown in Fig. 5. The minimum of the return loss occurs at 41 GHz, which is the upper limit of the desired bandwidth.

#### IV. AMPLIFIER FABRICATION

Standard processing techniques were used for most of the Ka-band HEMT amplifier fabrication. Isolation was

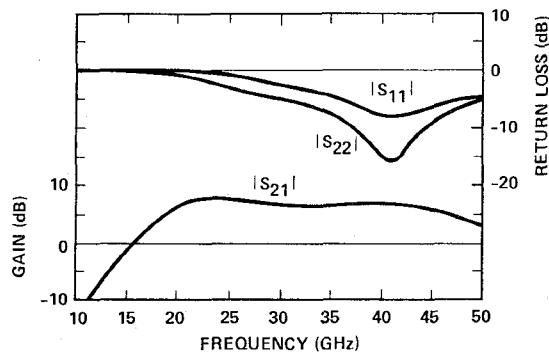


Fig. 5. Simulated gain and input/output return loss performances of the monolithic *Ka*-band amplifier.

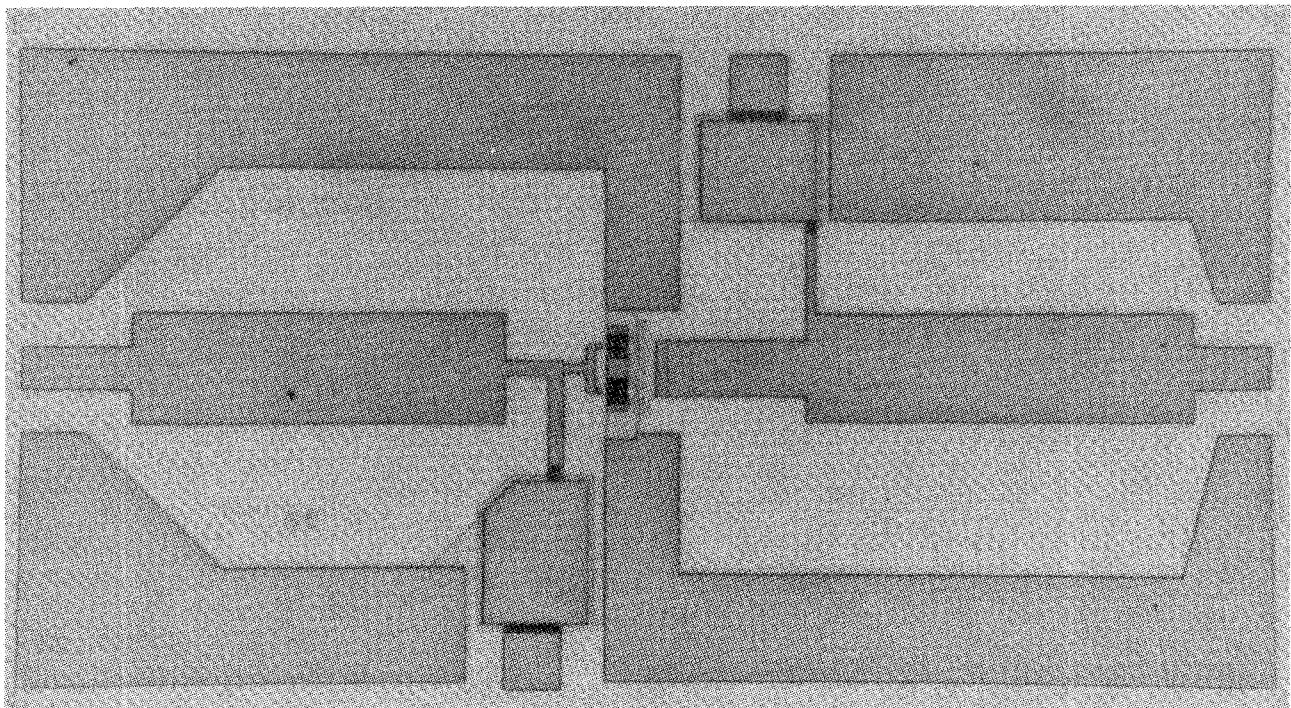


Fig. 6. Photograph of monolithic *Ka*-band amplifier. Chip size: 2.2 mm  $\times$  1.1 mm.

achieved with a 2500 Å mesa etch. The 0.25  $\mu$ m gate was written with a Cambridge EBMF 10.5 E-beam machine using PMMA resist. The gate recess etch was a self-aligned wet etch process. The gate cross section was triangular, with a gate resistance of 470  $\Omega$ /mm. A sputtered SiO<sub>2</sub> layer of 2000 Å was used as the capacitor dielectric material. In order to achieve good RF grounding, 60  $\mu$ m  $\times$  60  $\mu$ m backside vias were incorporated using reactive ion etching. All other process steps used conventional metallization, lift-off, and pulse-plating techniques.

Fig. 6 shows a photograph of the *Ka*-band amplifier. The chip size is 2.2 mm  $\times$  1.1 mm, and the layout of the circuit is compatible with Cascade Microtech RF wafer probing.

##### V. MEASURED GAIN PERFORMANCE

A gain of approximately 6 dB from 20 to 38 GHz and an input return loss of better than 5 dB from 20 to 40 GHz

(biased at  $V_{ds} = 3$  V,  $V_{gs} = -0.3$  V, and  $I_{ds} = 15$  mA) were measured using the Cascade Microtech RF wafer prober, as shown by the solid curves in Fig. 7. Measurement was accomplished using the coax-based (2.4 mm) HP-8510B network analyzer with full error corrections from 10 to 40 GHz. The measured gain agrees well with the simulated result (Fig. 5), except at the high end of the band, where the measured gain starts to fall at 38 GHz. The shift in the frequency location of the minimum input return loss from 41 GHz (simulation) to 35 GHz (measurement) correlates with the earlier measured gain fall-off.

The HEMT device is particularly suited for achieving high gain because of its higher transconductance and lower output conductance (per unit  $g_m$ ) compared with the MESFET. The higher transconductance is a result of the increased saturated velocity of the two-dimensional electron gas layer and the fact that AlGaAs can be doped

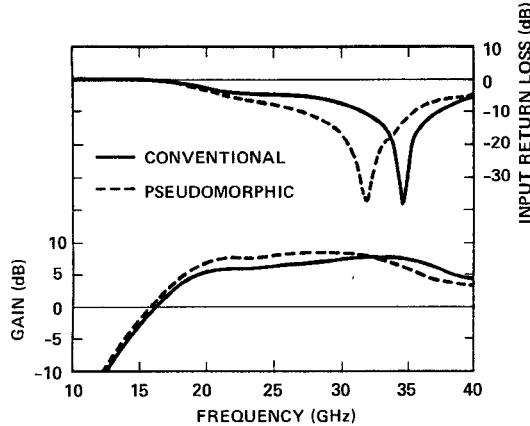


Fig. 7. Measured gain and input return loss performance of the monolithic Ka-band amplifier fabricated on conventional and pseudomorphic HEMT materials.

TABLE I  
COMPARISON OF 0.25  $\mu\text{m}$  MESFET, 0.25  $\mu\text{m}$  HEMT, AND 0.25  $\mu\text{m}$   
PSEUDOMORPHIC HEMT CHARACTERISTICS

Device Type	MESFET	HEMT	Pseudomorphic HEMT
$g_m r_{ds}$	10	15	18
$f_t = g_m / (2\pi C_{gs})$ (GHz)*	44	56	58
$f_{max}$ (GHz) <sup>+</sup>	70	101	104

\*calculated values from device model.

<sup>+</sup>extrapolated from measured MAG value.

higher than GaAs without compromising the gate breakdown voltage (due to the larger bandgap). The lower output conductance is a result of the two-dimensional nature of the conduction electrons and the thinner epitaxial layer thickness, assuming the output resistance of a HEMT can be expressed in a way similar to that of a MESFET as [13]

$$r_{ds} \propto \cosh \left[ \frac{\pi}{2} \left( \frac{L_g}{a} \right) \right]$$

where  $L_g$  is the gate length and  $a$  is the epitaxial layer thickness.

Ignoring feedback, the MAG of a FET is given by

$$MAG = \frac{g_m^2}{4\omega^2 C_{gs}^2 r_i g_{ds}} \propto \left( \frac{g_m}{C_{gs}} \right)^2 (g_m r_{ds})$$

assuming  $r_i \propto g_m^{-1}$ .

The 0.25  $\mu\text{m}$  HEMT fabricated in our laboratory has a voltage gain factor  $g_m r_{ds}$  of 15, compared to 10 for the 0.25  $\mu\text{m}$  MESFET when biased for maximum gain, as listed in Table I. Furthermore, the cutoff frequencies  $f_t$  ( $= g_m / 2\pi C_{gs}$ ) for the 0.25  $\mu\text{m}$  HEMT and MESFET are calculated to be 56 and 44 GHz, respectively, as listed in Table I. With a factor of 1.27 improvement in  $(g_m / C_{gs})$  along with a factor of 1.5 improvement in  $(g_m r_{ds})$ , an approximate 3.8 dB gain improvement would be anticipated for the 0.25  $\mu\text{m}$  HEMT compared to the 0.25  $\mu\text{m}$

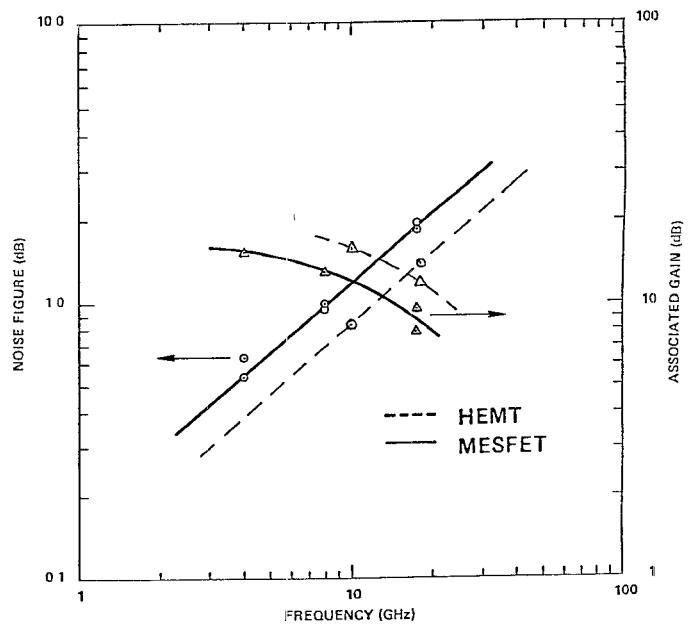


Fig. 8. Noise and associated gain performance comparison of 0.3  $\mu\text{m} \times 150 \mu\text{m}$  HEMT and MESFET.

MESFET. The 0.3  $\mu\text{m} \times 150 \mu\text{m}$  gate length HEMT fabricated in our lab has an approximate 3 dB improvement in the measured associated gain performance over the MESFET of the same gate length [4], as shown in Fig. 8.

## VI. MEASURED NOISE FIGURE PERFORMANCE

The solid curve in Fig. 9 shows approximately 5 dB measured noise figure for the Ka-band amplifier from 26.5 to 40 GHz when biased at minimum noise bias condition. As stated previously, the amplifier was designed for flat gain rather than minimum noise figure performance. The amplifier noise figure was measured with a HP-R347B noise source and a HP noise measurement system using the waveguide test setup and the Cascade prober.

The HEMT device is known for its low-noise performance and its suitability for broad-band low-noise applications [4], [14]. The FET device can be characterized by a minimum intrinsic noise figure [14]:

$$F_{\min} = 1 + 2 \frac{f}{f_c} \sqrt{PR(1 - C^2)}$$

where  $f_c = (1/2\pi)(g_m / C_{gs})$  is the cutoff frequency,  $P$  and  $R$  are two dimensionless parameters which depend on biasing conditions and device parameters, and  $C$  is the correlation coefficient between the gate and drain noise sources.

The lower noise figure performance for HEMT's compared to MESFET's (as shown in Fig. 8) is due to the higher cutoff frequency (i.e., higher  $g_m / C_{gs}$ ), as described before, and the higher correlation coefficient between the drain and gate noise current sources, subtracting partially the gate noise from the drain noise and reducing the intrinsic noise figure in the HEMT [14].

In addition, the noise figure for a FET device can be

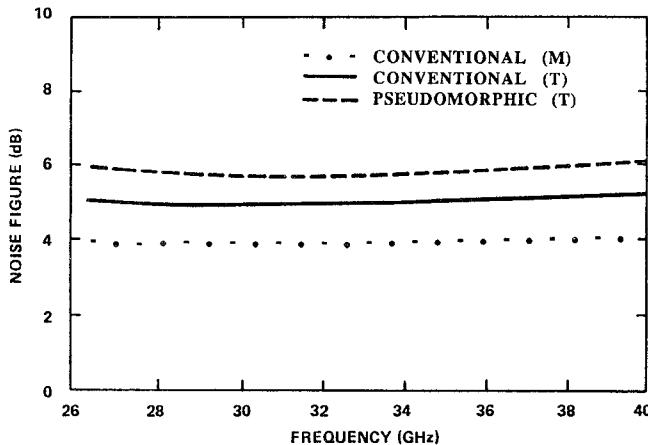


Fig. 9. Measured noise figure performance of the monolithic *Ka*-band amplifier fabricated on conventional and pseudomorphic HEMT materials. M and T represent mushroom and triangular gate profiles, respectively.

expressed by

$$F = F_{\min} + \frac{g_n}{R_0} |Z_0 - Z_{\text{opt}}|^2$$

where  $F_{\min}$  is the minimum noise figure,  $g_n$  is the noise conductance,  $Z_0 = R_0 + jX_0$  is the source impedance, and  $Z_{\text{opt}}$  is the optimized source impedance for minimum noise. The HEMT's have a lower noise conductance, which results in reduced sensitivity of the noise figure to changes in source impedance and therefore permits low-noise performance over a wider bandwidth [4], [14].

## VII. PERFORMANCE OF AMPLIFIER WITH MUSHROOM GATE PROFILE

A  $0.25 \mu\text{m}$  gate with a mushroom gate profile has been developed recently using the trilevel resist and E-beam lithography. Fig. 10 shows a SEM photograph of a typical mushroom gate profile. The gate resistance of a  $0.25 \mu\text{m}$  gate with a mushroom gate profile ( $70 \Omega/\text{mm}$ ) is reduced to almost  $1/7$  that for a  $0.25 \mu\text{m}$  gate with a triangular gate profile ( $470 \Omega/\text{mm}$ ).

The  $0.25 \mu\text{m} \times 150 \mu\text{m}$  II-configured HEMT with a mushroom gate profile has a measured noise figure of  $1 \pm 0.25$  dB and an associated gain of 13 dB at 18 GHz. These data are comparable to those reported by others [11] for a  $0.25 \mu\text{m} \times 150 \mu\text{m}$  T-configured HEMT, which has a measured noise figure of 0.7 dB and an associated gain of 13.8 dB at 18 GHz.

A *Ka*-band amplifier with a mushroom gate profile was fabricated on conventional HEMT material. This amplifier gave 8 dB of gain from 20 to 37 GHz and a 4 dB noise figure (shown in Fig. 9 as dot-dash curve) over the whole *Ka*-band. These are the best reported results for a MMIC amplifier over this bandwidth. Compared to the amplifier with a triangular gate profile, the amplifier with the mushroom gate profile has 2 dB higher gain and 1 dB lower noise figure in the *Ka*-band, due to the higher  $g_m$  and lower  $R_g$  of the device.

## VIII. PSEUDOMORPHIC HEMT AMPLIFIER PERFORMANCE

Excellent dc and millimeter-wave performance for the InGaAs/AlGaAs pseudomorphic HEMT's has recently been reported [15]–[17]. The advantages of the pseudomorphic HEMT include the elimination of deep trap effects through the use of low Al mole fraction, reduction in trap-related generation-recombination noise, high sheet charge density, higher mobility and velocity, and improved carrier confinement. Therefore, submicron gate pseudomorphic HEMT's are expected to have superior potential as low-noise and high-power devices in the millimeter-wave region.

A  $0.25 \mu\text{m} \times 150 \mu\text{m}$  pseudomorphic HEMT with a triangular gate profile was processed on the InGaAs/AlGaAs pseudomorphic HEMT material with 22 percent Al mole fraction and 18 percent In mole fraction grown by MBE in our laboratory, as shown in Fig. 11. These devices have a measured noise figure of 1.4 dB and an associated gain of 12.5 dB at 18 GHz, values comparable to those measured for a conventional HEMT. The  $0.25 \mu\text{m}$  pseudomorphic HEMT has a voltage gain factor  $g_m r_{ds}$  of approximately 18, a calculated cutoff frequency  $f_t$  of 58 GHz, and an extrapolated  $f_{\text{max}}$  of 104 GHz, as listed in Table I.

The *Ka*-band amplifier with a triangular gate profile was processed on the InGaAs/AlGaAs pseudomorphic HEMT material. A gain of 7.5 dB from 20 to 35 GHz and an input return loss of better than 5 dB from 20 to 40 GHz were measured, as shown by the dashed curves in Fig. 7. The 1.5 dB gain improvement for the pseudomorphic HEMT amplifier relative to the conventional HEMT amplifier is attributed mainly to the higher  $g_m$  (due to higher electron velocity) and lower  $g_{ds}$  (due to better carrier confinement) of the device. The earlier gain falloff for the pseudomorphic HEMT amplifier is related to the higher  $C_{gs}$  of the device.

The measured noise figure for the pseudomorphic HEMT amplifier is about 6.0 dB from 26.5 to 40 GHz, as shown by the dashed curve in Fig. 9, which for some reason is 1 dB higher than that for the conventional HEMT amplifier.

## IX. MEASURED OUTPUT POWER PERFORMANCE

The 1 dB compression power was measured for the HEMT and pseudomorphic HEMT amplifiers at 30 GHz. When biased at maximum power, the 1 dB compression power for the HEMT amplifier was measured to be 10 dBm at 30 GHz. For close to the same bias current and voltage, the pseudomorphic HEMT amplifier has a 1 dB compression power around 11.5 dBm when biased for maximum power. Presumably, the higher value for the pseudomorphic HEMT version is due to the lower and sharper knee voltage.

## X. DISCUSSION AND CONCLUSIONS

A monolithic low-noise amplifier using HEMT technology for the active device has been developed for *Ka*-

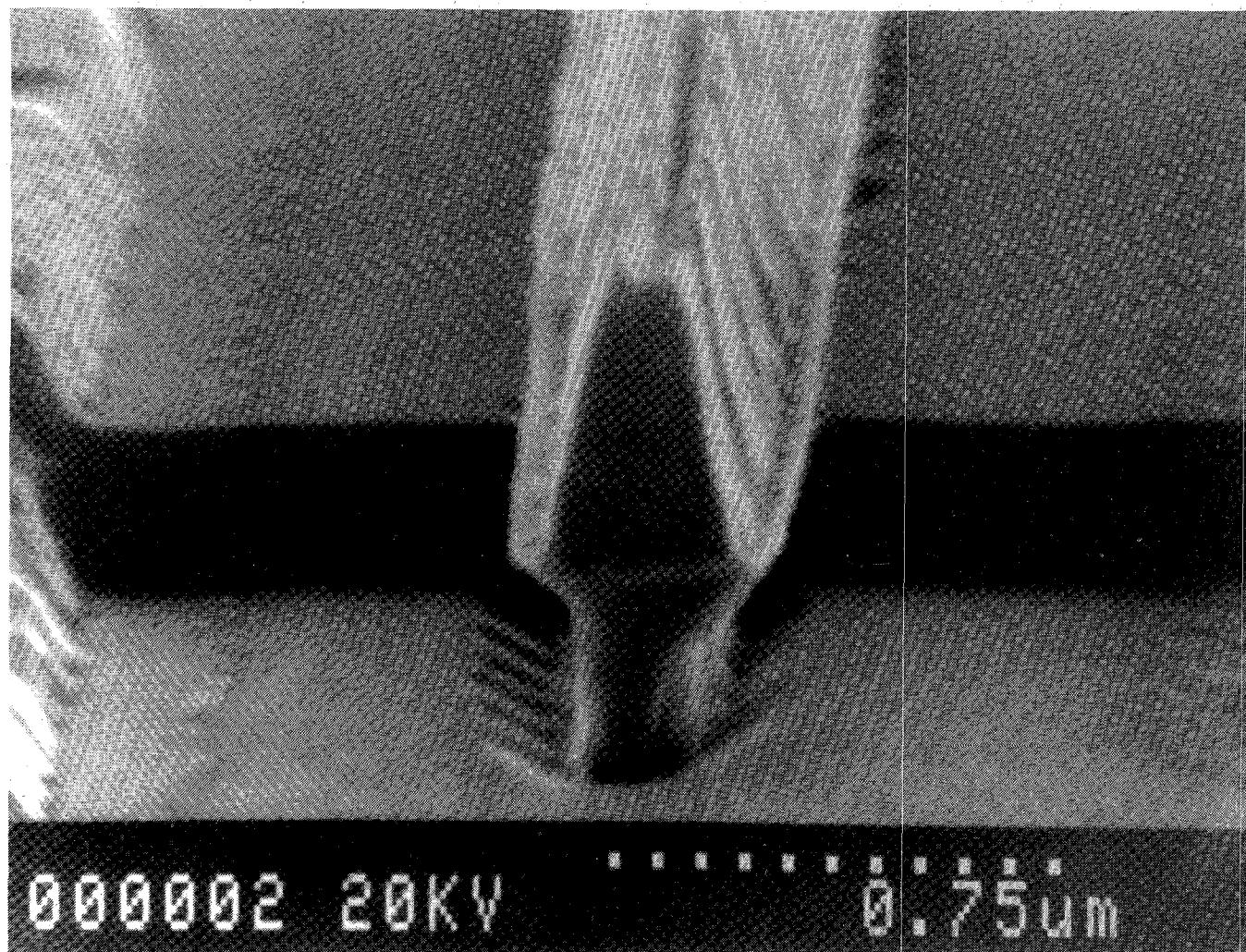


Fig. 10. SEM photograph of a typical mushroom gate profile.

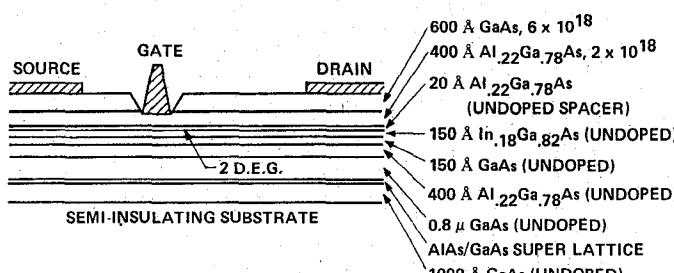


Fig. 11. Cross section of pseudomorphic HEMT structure.

band with about 6 dB gain from 20 to 38 GHz and approximately 5 dB for the noise figure from 26.5 to 40 GHz. By replacing the triangular gate profile with a mushroom gate profile, the amplifier achieved 8 dB gain from 20 to 37 GHz, with a 4 dB noise figure from 26 to 40 GHz. These are the best reported results for a MMIC amplifier over this bandwidth.

The same amplifier with a triangular gate profile was fabricated on pseudomorphic HEMT material and achieved 7.5 dB gain from 20 to 35 GHz with a 6.0 dB noise figure from 26.5 to 40 GHz.

The pseudomorphic HEMT amplifier has a 1 dB compression power of 11.5 dBm at 30 GHz, which is 1.5 dB higher than that for the conventional HEMT amplifier.

Devices with shorter gate length (i.e., 0.1  $\mu$ m) have been developed using E-beam lithography, which will improve the gain and noise performance of the amplifier further.

Using the current 0.25  $\mu$ m HEMT with a mushroom gate profile along with the improved circuit topology designed for minimum noise, a noise figure below 3 dB from 20 to 40 GHz for a broad-band MMIC amplifier should be achievable. With further improvement in the noise figure of the device (for example, a 0.1  $\mu$ m HEMT with a mushroom gate profile), the noise figure of the Ka-band MMIC amplifier can be reduced even further.

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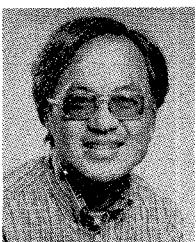
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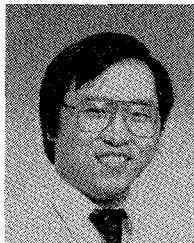
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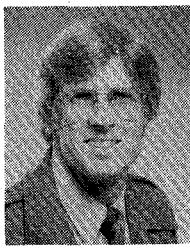
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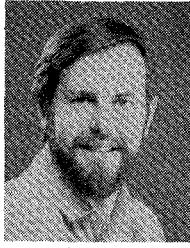
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